PLV ACADEMY

LEARNING.
APPLIED.

15

DECEMBER 2026

TUESDAY

10

SIDC CPE POINTS*

*Subject to SIDC CPE requirements

7

TRAINING HOURS

PROGRAMME CODE

PLVA-0002-R003

PLV ADVANCE BUSINESS CONSULTING SDN BHD

Professional learning and development

Corporate Fraud Risk Management & Compliance

Building a Culture of Integrity

15 December 2026 | 9:00AM–5:30PM | Physical training

LEARNING OBJECTIVES

Upon completion of this course, participants will be able to:

1. Identify the key fraud risk indicators and compliance requirements.
2. Design an integrated fraud risk management and compliance program.
3. Explain the interplay between internal controls, fraud detection techniques, and compliance monitoring.
4. Review existing organizational practices to assess their effectiveness and recommend improvements.

LEARNING EXPERIENCE

Delivery Medium: Instructor Led Course, Physical, Lectures, Hands-on demonstrations, Case studies, Group discussions, and Practical exercises

SPEAKER(S):

MR. PRIMUS LIM

(Trainer's Profile Mr. Primus Lim.pdf)

Public programme | Refresher level | 7 training hours excluding breaks

PLV ACADEMY

PROGRAMME OUTLINE

05

TEACHING SESSIONS

7

TRAINING HOURS

15 DECEMBER 2026

PROGRAMME CODE

PLVA-0002-R003

PLV ADVANCE BUSINESS CONSULTING SDN BHD

Professional learning and development

COURSE OUTLINE

Corporate Fraud Risk Management & Compliance

Morning sessions 1–3

Time: 9:00 – 10:30 - 1 hour 30 minutes

Speaker: MR. PRIMUS LIM

1. Introduction to Corporate Fraud Risk Management & Compliance

Learning Objectives:

- Defining occupational fraud and abuse and understanding its hidden costs.
- Overview of fraud categories (asset misappropriation, corruption, financial statement fraud).
- The critical role of compliance—drawing on foundational compliance awareness

Time: 10:45 – 12:00 – 1 hours 15 minutes

Speaker: MR. PRIMUS LIM

2. Fraud Schemes, Detection Techniques & Compliance Monitoring

Learning Objectives:

- Detailed examination of common fraud schemes (skimming, cash larceny, check tampering, payroll fraud, etc.) and methods used to conceal them.
- Identifying red flags for both fraud and compliance breaches.
- Introduction to compliance monitoring programs and their role in strengthening internal controls.

Time: 12:00 – 13:00 – 1 hours 00 minutes

Speaker: MR. PRIMUS LIM

3. Fraud Prevention, Internal Controls & Compliance Programs Development

Learning Objectives:

- Exploring the Fraud Triangle (Pressure, Opportunity, Rationalization) as a framework for understanding fraud behavior.
- Designing and implementing internal controls that simultaneously address fraud risk and regulatory compliance.
- Developing and enhancing compliance programs, including policy design, role definition, and training initiatives to build a strong ethical culture.

Terms and conditions apply. Subject to change.

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PROGRAMME OUTLINE

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COURSE OUTLINE

Corporate Fraud Risk Management & Compliance

Afternoon sessions 4–5

Time: 14:00 – 15:30 – 1 hour 30 minutes

Speaker: MR. PRIMUS LIM

4. Investigating Fraud & Managing Compliance Breaches

Learning Objectives:

- Key steps in fraud detection and investigation, including effective interviewing and evidence gathering techniques.
- Strategies for managing and remediating compliance breaches, with real world case studies on corrective actions and process improvements.
- Best practices in reporting incidents and using lessons learned to refine internal controls.

Time: 15:45 – 17:30 – 1 hour 45 minutes

Speaker: MR. PRIMUS LIM

5. Action Planning – Building a Culture of Integrity

Learning Objectives:

- Recap of the day's key learning points with an emphasis on integrated fraud risk and compliance strategies.
- Group exercise: Develop an actionable plan to enhance internal controls, compliance training, and monitoring programs within participants' organizations
- Open Q&A to address specific challenges and share best practices.

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PLV ACADEMY

PLAN YOUR PARTICIPATION

15

DECEMBER 2026

TUESDAY

PUBLIC PROGRAMME

REFRESHER LEVEL

KUALA LUMPUR

PROGRAMME CODE

PLVA-0002-R003

PLV ADVANCE BUSINESS CONSULTING SDN BHD

Professional learning and development

Course Information

Corporate Fraud Risk Management & Compliance

Building a Culture of Integrity

TARGET AUDIENCE

Fraud, Compliance, Risk & Treasury Professionals

AML/CFT officers, compliance managers, risk managers, internal auditors, and treasury professionals handling money markets, FX, derivatives, and liquidity risk.

Includes SIDC CPE participants (Capital Markets Services Representative's Licence holders, ERPs) who must meet CPE requirements under SC guidelines.

Financial & Corporate Governance Practitioners

Professionals from banks, insurance, capital markets, multinational corporations, accounting and consultancy firms, and legal/advisory roles who manage governance, reporting, treasury exposure, and fraud-related risks.

Includes FIMM CPD participants (unit trust & PRS consultants) who must fulfil 16 CPD points annually to maintain registration.

Emerging Talent & Technology Specialists

Young professionals, management trainees, and data/technology specialists (RegTech, FinTech, cybersecurity, and analytics) transitioning into fraud risk management, treasury compliance, and integrity-building roles.

Suitable for early-career participants seeking structured CPD/CPE learning pathways aligned with industry standards.

DATE AND VENUE

Tuesday 15 December 2026

Registration 8:30AM–9:00AM
Training 9:00AM–5:30PM

TRAINING VENUE

Emspaced @ KL Sentral, Menara Allianz Sentral, Level 23A, Menara Allianz Sentral, 203, Jalan Tun Sambanthan, Brickfields, 50470 Kuala Lumpur.

FEES PER PARTICIPANT

RM1,600.00

Fees inclusive of coffee breaks, lunch, program materials and Certificate of Attendance.

HRD CORP CLAIMABILITY

HRD Corp claimable subject to approval

HRD Corp Training Programme ID: 10001598483
Category: INDUSTRY SPECIFIC
Industry & Sector: FINANCIAL AND INSURANCE TAKAFUL ACTIVITIES
Skill Area: Regulation and Certification Based

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PLV ACADEMY

PROFESSIONAL DEVELOPMENT

15

DECEMBER 2026

TUESDAY

10

SIDC CPE POINTS*

*Subject to SIDC CPE requirements

CMSRL AND ERP

PROGRAMME CODE

PLVA-0002-R003

PLV ADVANCE BUSINESS CONSULTING SDN BHD

Professional learning and development

Programme Justification

Corporate Fraud Risk Management & Compliance

In today's complex and highly regulated business environment, organizations face escalating risks from occupational fraud and compliance breaches that can result in significant financial losses and reputational damage. "Corporate Fraud Risk Management & Compliance: Building a Culture of Integrity" is designed to equip professionals with the essential tools and strategies to detect, prevent, and investigate fraud while ensuring robust compliance with regulatory requirements. By integrating fraud risk management with proactive compliance practices, this course supports enhanced corporate governance, protects stakeholder interests, and fosters an ethical culture that meets both industry needs and evolving legal standards.

Professional CPE and CPD Requirements

SIDC CPE REQUIREMENTS

The Guidelines on Compliance with the Continuing Professional Education Requirement (Guidelines) is issued by the Securities Commission Malaysia (SC) under section 377 of the Capital Markets and Services Act 2007 (CMSA).

These Guidelines provide for CPE requirement that holders of Capital Markets Services Representative's Licence (CMSRL) and Employee of Registered Persons (ERPs) need to comply with. CPE is a mandatory programme for capital markets professionals to consistently update or refresh their technical knowledge, enhance behavioural competencies as well as to instil ethical standards as expected in the SC's Licensing Handbook and the Guidelines on Investor Protection (IP Guidelines) jointly issued by the SC and BNM.

FIMM CPD REQUIREMENTS

According to Rule 7.1.1 of FIMM Consolidated Rules (FCR); a consultant must obtain a minimum of 16 CPD points in a year before an application for renewal of his registration will be considered by FIMM. *Note: Consultant has been defined as an individual registered with FIMM and authorised to market and distribute Schemes (Unit Trust Schemes and Private Retirement Schemes) in the FCR. Therefore, all Consultants need to comply with such requirement including:

Consultants who are the employees and/or independent individuals of a UTMC, IUTA, CUTA for purposes of marketing and distribution of Unit Trust Schemes; and

Consultants who are the employees and/or independent individuals of a PRS Provider, IPRA or CPRA for purposes of marketing and distribution of Private Retirement Schemes.

PLV ACADEMY

TRAINER PROFILE

15

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Trainer Profile

Mr. Primus Lim

Mr. Primus Lim has more than 20 years of experience in foreign exchange, financial markets, retail banking, corporate banking, treasury, and training. He previously served as a Senior Broker at Affin Moneybrokers Sdn Bhd, where he specialized in the money market, bonds, derivatives, FX swaps, options, and foreign exchange. Additionally, he worked in the treasury department of MUFG Bank (Malaysia) Berhad, previously known as Bank of Tokyo Mitsubishi-UFJ (M) Berhad, handling FX, interest rate swaps, derivatives, money market, structured products, and options.

Primus holds a Bachelor of Accounting from Universiti Kebangsaan Malaysia and is a Chartered Accountant under the Malaysian Institute of Accountants. He is a member of the Financial Markets Association of Malaysia (Persatuan Pasaran Kewangan Malaysia, PPKM) and the Asian Institute of Chartered Bankers (AICB). He is also a certified trainer under the 'Train The Trainer' certification program by HRD Corp (Human Resource Development Corporation), an agency under the Ministry of Human Resources Malaysia, and is an accredited trainer by HRD Corp as well.

Currently, Primus is the Managing Director and Principal Trainer of PLV Advance Business Consulting Sdn Bhd, where he focuses on training and business consultancy.

TRAINING PROVIDER APPROVALS

PLV Advance Business Consulting Sdn Bhd is an SIDC-approved CPE training provider. This programme carries 10 CPE points, subject to SIDC CPE requirements, and is suitable for CMSRL and ERP licence holders. PLV is an HRD Corp registered training provider.

PLV is also a FIMM-approved CPD training provider. FIMM CPD points are not currently confirmed for this programme. Applications are subject to early application and FIMM approval.

ENQUIRIES AND REGISTRATION

Contact Person(s): Mr. Primus Lim and Ms. Yong
03-89993266 | 016-2529208 | Fax 03-89993268

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